

12-18-00

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/15/00 3660 U.S. 710 09/13/02 1325	UTILITY PATENT TRANSMITTAL For new nonprovisional applications under 37 C.F.R. 1.53(b)		Attorney Docket No. NTL-3.2.141/3504 (11726STUS01U)
			First Inventor: Bryan R. Goring
			Title GRAPHICAL COUPON FOR SERVICE PROVIDED
			Express Mail Label No. EL401216083US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

 ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27
3. ☒ Specification Total Pages [16]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Pages [3]
5. Oath or Declaration Total Pages [2]
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR §1.63(d)
(for continuation/divisional with Box 18 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 CFR § 1.63(d)(2) and 1.53(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or computer Program
(Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. ☐ Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure ☒ Copies of IDS Citations
 Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant
 must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$ 1018.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an
 Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS. Only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
 Box 5b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label _____ or ☒ Correspondence address below
 (Insert Customer No. Or Attach bar code label here)

Name	COBRIN & GITES				
Address	750 Lexington Avenue, 21 floor				
City	New York	State	New York	Zip Code	10022
Country	U.S.A.	Telephone	(212) 486-4000	Fax	(212) 486-4007

Name (Print/Type)	Richard M. Lehrer	Registration No. (Attorney/Agent)	38,536
Signature	<i>Richard M. Lehrer</i>	Date	December 15, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments
 on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC
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Patent fees are subject to annual revision.

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Application Number	to be assigned
Filing Date	concurrently herewith
First Named Inventor	Bryan F. Goring
Examiner Name	to be assigned
Group/Art Unit	to be assigned
Attorney Docket No.	NTL-3.2.141/3504 (11726STUS01U)

TOTAL AMOUNT OF PAYMENT	(\$) 1,018.00
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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number [03-2317]

Deposit Account Name [COBRIN & GITTES]

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	

FEE CALCULATION

1. BASIC FILING FEE

Line	Entity	Small Entity			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (2)

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims	26	-20 =	6 x	18	\$108.00
Indep. Claims	5	-3 =	2 x	80	\$160.00
Multiple Dependent					

** or number previously paid, if greater, for reissues, see below


Large Entity		Small Entity		
Code	(\$)	Code	(\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$268.00)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid	SUBTOTAL (3)	\$ 40.00
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SUBMITTED BY

Complete (if applicable)

Name (Print Type)	Richard M. Lehrer	Registration Number	38,536	Telephone	(212) 486-4000
Signature				Date	December 15, 2000

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